# APPLICATION FORM FOR INITIAL FULL AUDIT

# ASC-MSC SEAWEED (ALGAE) CERTIFICATION

Please fill in this form if you would like to receive a quote for an ASC-MSC Seaweed (Algae) certification initial audit.

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| **NAME OF COMPANY APPLYING AND LEGAL STATUS**(please use full name of company with legal status, e.g. Ltd., Inc, SAC, SA, SARL, BV) | **ADDRESS OF COMPANY** |
| **COMPANY’S LEGAL REPRESENTATIVE**(name of person and position) | **COMPANY’S CONTACT PERSON**(if different from Legal Representative, name and position) |
| **TELEPHONE / FAX NUMBER** | **E-MAIL** **(and/or website)** |
| **SKYPE** | **COMPANY VAT NUMBER** |

Please select the applicable option from the boxes below:

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|  | Our company is **applying for the first time** |
|  | Our company wishes to **indicate changes/update information** to our existing project(s) |
|  | Our company is **already certified and wants to transfer** |
|  | Our company is **applying for recertification** |

Our company is applying for:

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|  | **ASC-MSC single site -** single aquaculture site whereby applicant is able to sign a binding contract that is legally enforceable. |
|  | **ASC-MSC multi-site -** applicant is a legal entity with more than one site which has a common central management structure with overall management responsibility across all sites. All ASC-MSC requirements are binding for each site, facility or operation and all operations are subject to the same standard. |

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| **ASC-MSC Seaweed operation categories**Complete the table below so that CU UK can classify your operation into one of the 5 ASC-MSC Seaweed Operation categories:

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| **Category** | **Production location and type** | **Linkages to wild stock** |
| A | Harvest of natural populationsYes☐No ☐ |  |
| Please describe: |
| Bi | Cultivation at sea (including production units which require some stages cultivated in land-based hatcheries followed by grow-out at sea)Yes ☐No ☐ | Seed supplied from wild stocksYes ☐No ☐ |
| Bii | Supply of seed from wild stocks NOT required or negligibleYes ☐No ☐ |
| Please describe: |
| Ci | Cultivation entirely in land-based systemsYes ☐No ☐ | Seed supplied from wild stocksYes ☐No ☐ |
| Cii | Supply of seed from wild stocks NOT required or negligibleYes ☐No ☐ |
| Please describe: |

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1. **Company background**

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| Please describe:1. Activities you wish to have certified (hatchery, grow-out, processing, trading, production system).
2. List of entities that you wish to certify.
3. List of towns or populations near to the farm or site.
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**3. Production units**

Please describe below all production units to be included in the ASC-MSC Seaweed certification assessment. If it concerns changes please state **all units** and indicate which unit is **changed**, **added** or **withdrawn**.

*NOTE: Sites that are adjoining will not be audited or certified as separate units.*

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| **Name of unit** | **Production system** cages, beds, ponds, tanks, raceways, etc. | **Weight produced**(Ton) | **Total Area** (ha) | **Location**GPS coordinates. Attach a satellite image or map  | **Receiving water body (RWB)**RWB name. Attach a satellite image or map | **Change, added or withdrawn** |
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If there are production units owned by the same legal entity that would not form part of the ASC-MSC Seaweed certification assessment, please list with location below.

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| **Name of Unit** | **Location** |
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1. **How long has the farm been in operation?**

If this is less than 12 months, has the farm completed at least one harvest cycle?

1. **Is the farm or site(s) located in a protected area?**

If YES, state the protected area name and the International Union for Conservation of Nature (IUCN) management category below (guidance may be found at <http://www.protectedplanet.net/>).

Please attach all relevant documents concerning partnerships/concessions that allow the company to operate in the protected area (environmental license, declarations, etc.).

1. **Post-harvest operations**

Please describe the post-harvest operations that have taken place while the products to be certified stay in your ownership. This includes owned or subcontracted post-harvest handling or processing, transshipments, etc.

*NOTE: The company may be required to apply separately for ASC-MSC Seaweed Chain of Custody certification for any post-harvest operations that occur before the first point of sale.*

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| **Name of unit** | **Location****(Address)** | **Owned or subcontracted by the applicant**  | **Are non-ASC-MSC products handled? yes/no** | **ASC-MSC Chain of Custody certified? yes/no** (include certificate no.) | **Changed, added or withdrawn**(if applicable) |
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1. Please describe below access to each unit listed above(e.g. travel time between units, distance from nearest airport, etc.)

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1. Has the project ever been registered, inspected or certified by a Conformity Assessment Body (CAB) for ASC-MSC Seaweed certification previously? Or has the project been certified under any other quality management system?

If YES, please provide details below, e.g. name of the certification, year of application, previous certification/registration number, name of any previous CABs, reason(s) for changing CAB, etc. Please also supply any relevant documents such as any valid, expired or terminated certificates or inspection reports.

1. **Stakeholders**

Please list below all potential stakeholders. CU UK will invite stakeholders to participate in the initial assessment.

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| **Stakeholder type** | **Name / contact person** | **Address** | **Email address** | **Phone number** |
| Neighbor |  |  |  |  |
| Governmental authority |  |  |  |  |
| Veterinary authority |  |  |  |  |
| Environmental authority |  |  |  |  |
| NGO |  |  |  |  |
| Others *(add below)* |  |  |  |  |
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1. **Social information**

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| Country official language: |  | Local language of the farm´s area: |  |
| No. of permanent workers: |  | No. of permanent workers living on site: |  |
| No. of subcontracted workers: |  | No. of subcontracted workers living on site: |  |
| No. of temporary workers: |  | No of temporary workers living on site: |  |
| No. of local workers: |  | Native languages of local workers: |  |
| No. of foreign workers: |  | Nationalities and languages of foreign workers: |  |
| Total number of workers:  |  |  |  |

1. Is the seaweed harvest/grow-out conducted under any known controversial unilateral exemption to an international agreement? If YES, provide details below.

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1. Has the applicant or any associated entities, been successfully prosecuted for a forced labour violation within the last two (2) years? If YES, provide details below.

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1. Does the company have a mechanism for resolving disputes? If YES, provide details below.

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1. Does the applicant have a desired start date?

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| **COMPANY NAME** |
| **COMPANY REPRESENTATIVE NAME & POSITION** |
| **SIGNATURE** | **DATE** |

**Based on the information above, CU UK will prepare and send you an offer for an initial Seaweed free of charge. You are under no-obligation to accept this offer.**

**PLEASE NOTE:** A license agreement will be required to be entered in to with the MSCI prior to the use of the eco-label and consumer facing trademarks. For information on the use of the ASC-MSC eco-label or trademarks please follow this link <https://www.asc-aqua.org/what-we-do/our-logo/> and this link <https://www.msc.org/for-business/use-the-blue-msc-label>.

**PLEASE NOTE:** For further information relevant to certification and FAQs regarding the ASC-MSC Seaweed Programme please follow this link <https://www.asc-aqua.org/what-we-do/our-standards/seaweed-standard/>.

**PLEASE NOTE:** The ASC-MSC Client Application Checklist provides a breakdown of core information required for the initial audit. This form needs to be returned to the CAB prior to us being able to announce any seaweed fishery for assessment. A copy of the document can be found at the following link <https://www.asc-aqua.org/wp-content/uploads/2017/11/ASC-MSC-Seaweed-Algae-Client-Application-Checklist-v1.0.docx>.